October 2020

Medtronic reference: FA935

Dear Physician or Healthcare Professional:

This notification is to provide you with important information regarding updates to the Instructions for Use (IFU) manuals for the Medtronic Evolut™ Transcatheter Aortic Valves (TAVs), specifically, regarding the risk of TAV leaflet damage when performing a post-implant balloon dilatation (PID).

As of 8th Oct 2020, Medtronic has received reports of Evolut™ valve leaflet damage occurring following PID at a rate of 0.020%*. These complaints of damage to the bioprosthetic leaflets resulted in moderate or severe aortic insufficiency which were detected acutely or during follow up. These reported events required re-intervention (77%), conversion to surgery (19%), re-intervention followed by surgery (2%), or were treated conservatively (2%). No other serious adverse event outcomes associated with these events have been reported.

As per Medtronic’s commitment to patient safety and quality, we conducted a thorough investigation into these events and identified that over-expansion of the narrowest portion (waist) of the TAV can potentially cause damage to the bioprosthetic leaflets. Depending on the choice of balloon, the physicians must consider two factors that may lead to over-expansion of the waist of the TAV:

1. The pressure the balloon is inflated to when performing PID
2. Balloon size used for PID

The detailed guidance on considering these two facts is provided in Appendix A to this letter.

Medtronic is not retrieving product from the field per this Urgent Field Safety Notice, as this notification provides updated precautionary instructions related to PID. The Evolut™ TAV products maintain compliance to all applicable medical device safety standards. Patients who have been, or will be, treated with an Evolut™ TAV should continue to be managed according to your standard patient management protocols. The Evolut™ System IFU will also be updated consistent with Appendix A.

Medtronic is notifying regulatory agencies regarding this communication and will obtain approvals for the updated IFU as required. Until the IFU update is available, physicians should continue to reference this communication.

**Physician Actions**

Please complete the following actions:

- Review the updated instructions provided in Appendix A.
- Share this information with other physicians in your facility who use the Evolut™ TAV System.

Questions can be directed to your Medtronic Field Representative.

We appreciate your review of this notification and apologize for any inconvenience. We are committed to patient safety and appreciate your prompt attention to this matter.

Sincerely,

Imad Sidani,

Senior Business Manager, Structural Heart, APS

*Based on units sold worldwide
APPENDIX A: POST-IMPLANT BALLOON DILATATION CONSIDERATIONS

- If valve function or sealing is impaired due to excessive calcification or incomplete expansion, a postimplant balloon dilatation of the bioprosthesis may improve valve function and sealing. If the heart team determines that balloon dilatation is appropriate, consider all of the following factors when selecting the dilatation parameters to ensure patient safety:
  - Balloon model
  - Balloon size
  - Balloon position
  - Inflation pressure
  - Patient anatomy

- Two primary factors must be considered when selecting a maximum balloon diameter for postimplant balloon dilatation:
  - **To mitigate trauma to the annulus**
    - A compliant or semi-compliant balloon (e.g. B. Braun Z-Med I™ / Z-Med II™, InterValve V8™) should not exceed the diameter of the native aortic annulus. For TAV in SAV, the balloon should not exceed the inner diameter of the surgical bioprosthetic valve.
      * TM* Third party brands are trademarks of their respective owners.
    - A non-compliant balloon (e.g. Bard TRUE® Dilatation) should be at least 1mm smaller than the diameter of the native aortic annulus. For TAV in SAV, the balloon should be at least 1mm smaller than the inner diameter of the surgical bioprosthetic valve.
  - **To mitigate trauma to the Evolut TAV bioprosthetic leaflets**
    - The maximum balloon size chosen for dilatation using a compliant or semi-compliant balloon should not exceed the TAV waist diameter beyond the level set forth in Table 1 with an applied inflation pressure of no greater than 2 atm.
    - The maximum balloon size chosen for dilatation using a non-compliant balloon should not exceed 1mm more than the TAV waist diameter with an applied inflation pressure of no greater than 2 atm. (see Table 1.)

<table>
<thead>
<tr>
<th>Evolut R / PRO Size</th>
<th>23 mm</th>
<th>26 mm</th>
<th>29 mm</th>
<th>34 mm</th>
</tr>
</thead>
<tbody>
<tr>
<td>Native Annulus (SAV Inner Diameter)</td>
<td>17/18</td>
<td>20</td>
<td>23</td>
<td>26</td>
</tr>
<tr>
<td>TAV Waist Diameter (mm)</td>
<td>20</td>
<td>22</td>
<td>23</td>
<td>24</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Max Balloon Diameter</th>
<th>Compliant/Semi-Compliant @2atm</th>
<th>Non-Compliant @2atm</th>
</tr>
</thead>
<tbody>
<tr>
<td>23 mm</td>
<td>18/19</td>
<td>17/18</td>
</tr>
<tr>
<td>26 mm</td>
<td>20/21</td>
<td>19/20</td>
</tr>
<tr>
<td>29 mm</td>
<td>22/23</td>
<td>21/22</td>
</tr>
<tr>
<td>34 mm</td>
<td>24/24</td>
<td>24/24</td>
</tr>
</tbody>
</table>

*17mm for surgical bioprosthetic aortic annulus
CAUTION:

- Overexpansion of the narrowest portion (waist) of the Evolut™ TAV beyond the levels set forth in Table 1 has been demonstrated through bench data to cause damage to the bioprosthetic leaflets. Complaints of damage to the bioprosthetic leaflets during post-implant balloon dilatation have been reported in some clinical cases, resulting in moderate to severe aortic insufficiency, which may be detected acutely or during follow up.

- It is important to note that the mechanical compliance properties of the selected balloon influence the dilatation dynamics.

  - Balloons should not be inflated beyond 2 atm of applied pressure.

  - **Compliant and semi-compliant (softer) balloons** will more readily conform to the hourglass profile of the TAV bioprosthetic at lower pressures but must be inflated at pressures that preserve the hourglass profile of the TAV.

  - Conversely, **non-compliant (stiffer) balloons** will achieve the nominal diameter during inflation irrespective of the underlying annulus or TAV resistance and should be downsized (see Table 1).

- For additional instructions on the use of balloon catheter devices refer to the specific balloon catheter manufacturer's labelling.

- In the event that larger balloon diameters than those listed in Table 1 are required to expand the Evolut TAV due to clinically important residual aortic regurgitation or stenosis, using “bailout” intraventricular balloon positioning when performing PID avoids expansion of the narrowest portion (waist) of the Evolut™ TAV. This can mitigate the risk of leaflet damage. Dilatation with intraventricular balloon positioning should be performed with caution in the setting of a smaller ventricle cavity, presence of LVOT calcification, or wire positioning that interferes with mitral valve function, in order to avoid any unintended balloon interaction with anatomy. The balloon’s length and diameter, along with the individual patient anatomy, must be considered. Care should also be taken not to exceed the annular diameters when performing PID with intraventricular balloon positioning (see Table 1).

  - In the event that a bailout PID with intraventricular balloon positioning is performed, the nominal diameter of the balloon should not exceed the annular diameter when using compliant or semi-compliant balloons; the nominal diameter of the balloon should be at least 1mm smaller than the annular diameter when using non-compliant balloons.